

TRANSFER IN / ROLLOVER IN FORM

- The contract Account Owner should complete this form to make a transfer/rollover to an existing GET account from any of the following investments: (1) another Section 529 savings or prepaid Qualified Tuition Program (QTP), (2) a Coverdell Education Savings Account (formerly Education IRA), or (3) a qualified US Savings Bond.
- Complete all sections of this form and sign it or processing will be delayed.
- Please read the important information on page 3.
- IMPORTANT** – If you request GET to initiate the rollover/transfer transaction, GET cannot guarantee that the funds will be received in time to purchase units at the current unit price in effect. Unit prices may be adjusted annually on September 1 and May 1.

1. GET Account Information

Have you opened a GET account to accept the rollover?

- ☐ Yes. GET Account # _____ (if known)
- ☐ No. (You must complete a GET enrollment form. New accounts may only be opened during the September 15 – March 31 enrollment period.)

GET Account Owner Name (First, Middle, Last)

Social Security (or Taxpayer ID) Number

GET Student Beneficiary Name (First, Middle, Last)

Social Security (or Taxpayer ID) Number

GET Account Owner Phone Number

GET Account Owner Email

2. Type of Rollover/Transfer - *Please select one*

Incoming funds are from:

- ☐ the redemption of qualified US Savings Bond - Attach check and required documentation*. Complete sections 3 and 5.
- ☐ the redemption of a Coverdell Education Savings Account (formerly Education IRA) – Attach check and required documentation*. Complete sections 3 and 5.
- ☐ another Section 529 savings or prepaid Qualified Tuition Program – Attach check and required documentation* (unless GET is initiating the transfer). Complete sections 3,4,5 (and 6 if applicable).

** See Instructions for required documentation.*

3. Contribution Amount

Attach required documentation per form instructions. The documentation submitted must match the information provided below.

- ☐ Apply funds as Additional Lump Sum units **
- ☐ Apply funds towards future Custom Monthly payments **

***If not noted funds will be applied towards future Custom monthly payments when applicable.*

| | |
|--|----------|
| Principal Portion of Rollover Contribution | \$ _____ |
| Earnings Portion of Rollover Contribution | \$ _____ |
| Total Rollover Contribution to GET Account | \$ _____ |

4. Distributing 529 Plan Information - Complete if rollover/transfer is from another 529 plan

Distributing Program Name

Distributing Program Account Number

Distributing Plan Account Owner (First, Middle initial, Last)

Account Owner SSN (or Taxpayer ID) Number

Distributing Plan Beneficiary Name (First, Middle initial, Last)

Beneficiary SSN (or Taxpayer ID) Number

Relationship of beneficiary on the distributing account to the Student Beneficiary of the GET Account (if different)*

**If the beneficiary changes he/she must be an eligible family member of the GET account Student Beneficiary. See instructions for more information.*

☐ Check here if you would like GET to initiate your rollover from another 529 Plan and provide the following information about the distributing program:

☐ Full account transfer/rollover

☐ Partial account transfer/rollover \$ _____ or % _____

529 Plan mailing address: _____

5. Account Owner's Signature – Required

- I hereby authorize this rollover and acknowledge that if my current program manager or I fail to provide the applicable earnings, the entire rollover/transfer contribution will be treated as earnings.
- I certify that the information provided on this form is in all respects true, complete and correct.

GET Account Owner's Signature

Date

Distributing Account Owner's Signature (if different)

Date

6. Signature Guarantee for Distributing Account Owner – If applicable

- Contact your distributing 529 plan custodian to determine if a signature guarantee is required to process this transfer. If the custodian requests a signature guarantee and you do not provide it, your direct rollover cannot be completed.
- You may obtain a signature guarantee from an authorized member of a bank, brokerage, or other qualified financial institution. A notary public cannot provide a signature guarantee.

Signature of Guarantor

Title/Name of Institution

Date

Authorized Officer to Place Stamp Here

IMPORTANT INFORMATION ABOUT ROLLOVERS/TRANSFERS

General Information

- If the assets will be used to establish a new GET account, you must also complete an enrollment form during an open enrollment period (between September 15 and March 31).
- If the transfer or rollover is to an existing GET account and you need to designate a new Student Beneficiary to make the transfer or rollover valid, you should submit a completed Student Beneficiary Change form together with this form.
- A “member of family” means parents, brothers, sisters, stepparents, stepbrothers, stepsisters, children, stepchildren, aunts, uncles, first cousins and other eligible blood or legal relatives per IRS Code Section 529. Please call GET if you have questions.
- Please consult a qualified tax advisor to determine if the transfer/rollover qualifies as a tax-free rollover. The State of Washington does not offer tax advice.

Specific Rollover/Transfer instructions:

Rollover/transfers from another GET Account

Do not complete this form. Submit the GET Transfer Request form.

Rollover/transfer from another 529 Qualified Tuition Program

- If you are transferring or rolling over from another qualified tuition program, to be free from federal income taxes and penalties, the transfer or rollover must be made within 60 days of the withdrawal from that other program. In addition, the beneficiary of the account to which the transfer or rollover is made must be a member of the family of the beneficiary of the account in the other program. However, a transfer or rollover can be made without changing the beneficiary provided no transfer or rollover from a qualified tuition programs has occurred for that beneficiary within 12 months of the date of the transfer or rollover contribution being made with this form.
- You must provide a statement issued by the program from which the transfer or rollover is being made that shows the earnings portion of the distribution. If this documentation is not provided, we are required to treat the entire amount as earnings.
- The other qualified tuition program may require you to complete additional forms or may assess fees. Check with the other program for details.

Rollover/transfer from the redemption of a Coverdell Education Savings Account

You must provide an account statement issued by the financial institution that acted as trustee custodian of the Coverdell Education Savings Account that shows the contributions portion (basis) and earnings portion in the account. If this documentation is not provided, we are required to treat the entire amount as earnings.

Rollover/transfer from the redemption of qualified US Savings Bond

You must provide an account statement or Form 1099-INT issued by the financial institution that redeemed the bond that shows the interest (earning) from the redemption of the bond. If this documentation is not provided, we are required to treat the entire amount as earnings.

How to Submit this Form

Submit this form along with your rollover contribution directly to GET. Do NOT submit this form to the program or financial institution from which the funds are being withdrawn. If the financial institution from which the funds are being withdrawn has not yet completed the rollover distribution and appropriate documentation, please contact them directly and ask them to do so (unless you have requested above that we initiate your rollover, in which case we will contact the other plan).

